

Clinical Policy: Home Ventilators

Reference Number: LA.CP.MP.184

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Description

This policy describes medical necessity criteria for noninvasive and invasive home ventilators. Noninvasive ventilation (NIV) describes the administration of positive pressure to the lungs using interfaces such as, but not limited to, nasal masks, orofacial masks, full face masks, mouthpieces, nasal pillows, or helmets.^{1,2} Invasive ventilatory support describes the administration of positive pressure to the lungs through an invasive interface, such as a tracheostomy tube or endotracheal tube.¹

Policy/Criteria

- I. It is the policy of Louisiana Healthcare Connections that ***noninvasive home ventilators are medically necessary*** for the following indications:
 - A. Initial request for the first three months of noninvasive home ventilator use for restrictive thoracic disorders, all of the following^{4,5,9,10}:
 1. Documentation of a neuromuscular disease (ex. amyotrophic lateral sclerosis) or a severe thoracic cage abnormality (ex. post-thoracoplasty for tuberculosis or severe kyphoscoliosis) and one of the following taken while member/enrollee was stable (not in acute respiratory failure):
 - a. An arterial blood gas partial pressure of carbon dioxide (PaCO₂) was measured while awake and breathing room air or on prescribed oxygen with a measurement of PaCO₂ $\geq$ 45 mm Hg;
 - b. Sleep oximetry demonstrates O₂ saturation $\leq$ 88% for at least five minutes while breathing prescribed O₂;
 - c. If neuromuscular disease is present maximal inspiratory pressure is $<$ 60 cm H₂O, or forced vital capacity is $<$ 50% predicted;
 2. Documentation supports both of the following:
 - a. Member/enrollee could not be appropriately treated with a respiratory assist device (RAD);
 - b. The non-invasive home ventilator will not be used to provide RAD or CPAP therapy (i.e. will be used to provide average volume assured pressure support);
 3. Chronic obstructive pulmonary disease (COPD) does not contribute significantly to the pulmonary limitation;
 - B. Initial request for the first three months of noninvasive home ventilator use for chronic respiratory failure due to COPD, all of the following²²:
 1. Member/enrollee exhibits hypercapnia, demonstrated by PaCO₂ $\geq$ 52 mmHg by arterial blood gas obtained while awake and breathing prescribed FiO₂;
 2. Sleep apnea is not the predominant cause of the hypercapnia;
Note: Formal sleep testing is not required if the medical record demonstrates that sleep apnea is not the predominant cause of hypercapnia.

3. Member/enrollee demonstrates at least one of the following:
 - a. Requires oxygen therapy at an $\text{FiO}_2 \geq 36\%$ or $\geq 4\text{L}$ nasally;
 - b. Requires ventilatory support for more than eight hours per 24-hour period;
 - c. Requires a home mechanical ventilator equipped with alarms and an internal battery due to the member/enrollee being unable to effectively breathe independently for more than a few hours, and interruption of ventilatory support without alerting the member/enrollee would pose a life-threatening risk;
 4. Documentation supports both of the following^{5,10,22}:
 - a. Member/enrollee could not be appropriately treated with a RAD;
 - b. The non-invasive home ventilator will not be used to provide RAD or CPAP therapy (i.e. will be used to provide average volume assured pressure support);
- C. Initial request for the first three months of noninvasive home ventilator use for obesity hypoventilation syndrome (OHS) (also known as Pickwickian syndrome), all of the following^{5,10,14}:
1. $\text{BMI} \geq 30$;
 2. An initial arterial blood gas PaCO_2 , done while awake and breathing at baseline and the prescribed FIO_2 is ≥ 45 mm Hg;
 3. Sleep-disordered hypoventilation has been documented by polysomnography and other conditions are not considered the primary cause of hypoventilation (ex. lung parenchymal or airway disease, chest wall disorder [other than mass loading from obesity], medication use, neurologic disorder, muscle weakness, or a known congenital or idiopathic central alveolar hypoventilation syndrome);
 4. Documentation supports both of the following:
 - a. Member/enrollee could not be appropriately treated with a RAD;
 - b. The non-invasive home ventilator will not be used to provide RAD or CPAP therapy (i.e. will be used to provide average volume assured pressure support).
- II. It is the policy of Louisiana Healthcare Connections that ***continued use of noninvasive home ventilators*** after the initial certification period is **medically necessary** when meeting the following^{5,10,22}:
- A. The device is used for at least an average of four hours per 24-hour period;
 - B. Documentation supports both of the following:
 1. Ongoing benefits from use of the device;
 2. The noninvasive home ventilator is not being used provide RAD or CPAP therapy (i.e. will be used to provide average volume assured pressure support).
- III. It is the policy of Louisiana Healthcare Connections that ***noninvasive home ventilators for overlap syndromes*** (presence of more than one condition, such as COPD and sleep apnea) require **secondary review** by a medical director.¹⁰
- IV. It is the policy Louisiana Healthcare Connections of ***that initial and ongoing use of an invasive ventilator is medically necessary*** for a long-term/chronic condition or disease affecting the ability to effectively maintain an adequate respiratory status. Examples of conditions may include neuromuscular disease, thoracic restrictive disease, or chronic respiratory failure following COPD.

- V. It is the policy of Louisiana Healthcare Connections that ***a second or back up noninvasive or invasive ventilator is considered medically necessary*** for the following indications¹¹:
- A. A second ventilator to serve a different purpose from the first ventilator, based on medical needs (e.g., two different types of ventilators are needed for each day, such as, a negative pressure ventilator with chest shell for one indication and a positive pressure ventilator with nasal mask the rest of the day);
 - B. A back-up ventilator for one of the following:
 - 1. Member/enrollee is confined to a wheelchair and requires a wheel-chair mounted ventilator during the day and another ventilator of the same type for use while in bed (unable to position the wheelchair-mounted ventilator close enough to the bed for use while sleeping). Without both pieces of equipment, member/enrollee may be prone to medical complications, unable to achieve appropriate medical outcomes, or may not be able to use the equipment effectively;
 - 2. Residence in remote areas with poor emergency access.

Background

The term respiratory failure refers to the inability to adequately perform the fundamental functions of respiration, delivery of oxygen to the blood stream and removal of carbon dioxide. Respiratory failure has many causes and can be acute or chronic in nature. Typically, respiratory failure initially affects the ability to effectively move oxygen into the body, also known as oxygenation failure, or to eliminate carbon dioxide, also known as ventilatory failure.^{2,15}

Routine use of noninvasive ventilation has increased over the previous two decades, and as a result, noninvasive ventilation has become an essential tool in the management of acute and chronic respiratory failure in both the home and critical care settings.¹ Noninvasive ventilation offers increased flexibility and has become a valuable treatment option for patients with acidosis in moderate to severe respiratory distress and tachypnea with increased labored breathing due to chronic obstructive pulmonary disease (COPD) exacerbation.^{1,15}

Ventilatory support is achieved through a variety of interfaces such as oronasal mask, nasal mask, nasal prongs or full-face mask and by using a variety of ventilatory modes (e.g., volume ventilation, pressure support, cuirass ventilation, bi-level positive airway pressure [BiPAP], proportional-assist ventilation [PAV], continuous positive airway pressure [CPAP]). Oxygen is delivered via tubing through a positive pressure ventilator circuit and should be heated and humidified to improve tolerance and prevent mucosal dryness, a common side effect of prolonged noninvasive ventilation. The primary goals of home noninvasive ventilation are reduction of symptoms, improvement of quality of life, reduced readmission risk and reduction of mortality.^{1,2,3}

Invasive mechanical ventilation is primarily used to facilitate the exchange of oxygen and carbon dioxide, fully or partially, in patients with respiratory failure who no longer have the capacity to breathe spontaneously or whose ventilatory needs exceed their own ability to do so adequately. It is beneficial for protecting the airway of patients with a decreased level of consciousness, upper gastrointestinal hemorrhage, emesis, or other conditions with an increased risk of aspiration in whom noninvasive ventilation is contraindicated.^{16,17}

Coding Implications

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NOTE: Coverage is subject to each requested code's inclusion on the corresponding LDH fee schedule. Non-covered codes are denoted (*) and are reviewed for Medical Necessity for members under 21 years of age on a per case basis.

HCPSC Codes	Description
E0465	Home ventilator, any type, used with invasive interface, (e.g., tracheostomy tube)
E0466	Home ventilator, any type, used with noninvasive interface, (e.g., mask, chest shell)
E0467	Home ventilator, multi-function respiratory device, also performs any or all of the additional functions of oxygen concentration, drug nebulization, aspiration, and cough stimulation, includes all accessories, components and supplies for all functions
E0468*	Home ventilator, dual-function respiratory device, also performs additional function of cough stimulation, includes all accessories, components and supplies for all functions

Reviews, Revisions, and Approvals	Revision Date	Approval Date	Effective Date
Converted corporate to local policy.	08/15/20		
References reviewed and updated. ICD-10 codes removed.	1/22		
Changed name of policy to Invasive and Non-Invasive Home Ventilators. Added criteria i and ii to I.A.b. Removed from I.C.d: worsened greater than or equal to 7 mm Hg compared to the original result (see C.2). In section II, added 12-month certification after initial 3 month. Added HCPSC Code E0467. Removed invasive ventilator criteria from CP.MP.107 DME and placed in criteria. Description and background updated to include information re: invasive ventilators. References reviewed and updated.	10/22	1/14/23	
Annual review completed. Minor rewording with no clinical significance. Background updated with no clinical significance. References reviewed and updated.	07/23	9/25/23	10/26/23

Reviews, Revisions, and Approvals	Revision Date	Approval Date	Effective Date
Annual review. Changed policy title from “Noninvasive Home Ventilators” to “Home Ventilators”. In I.A.1 changed "both" to "one" of the following and added "taken while member/enrollee was stable (not in acute respiratory failure)". Removed criteria for BiPAP failure and contraindications in sections I and II, and replaced with criteria requiring documentation that "member/enrollee could not be appropriately treated with a RAD" and "non-invasive home ventilator will not be used to provide RAD or CPAP therapy...". Removed criteria in I.A.1.a. and b. for members/enrollees < 18 years. In 1.A.1a. updated PaCO ₂ > to greater than or equal to. In I.C.1 updated BMI > than 30 to greater than or equal to 30. In 1.C.2 added "at baseline". Added criteria I.C.3. "Hypoventilation has been documented by polysomnography and other conditions are not considered the primary cause of hypoventilation..." Removed medical necessity criteria I.D. for home ventilators for treatment failure of BiPAP. In II.B. replaced "medical records document improvement..." with II.B.1. and 2. "Documentation supports: Ongoing benefits..." and "non-invasive home ventilator will not be used to provide RAD or CPAP therapy...". Minor rewording throughout policy with no clinical significance. Code E0468 added. References reviewed and updated. External specialist review.	08/24	10/28/24	12/2/24
Annual review. CPT codes E0467 and E0468 added. References reviewed and updated.	04/25	6/24/25	7/24/25
Annual review. Revision of section of I.B. including rewording of I.B.1. and 2. with no impact on criteria, addition of new I.B.3.a.-c. regarding ventilation requirements and restructuring with previous I.B.3.a.-b. becoming I.B.4.a.-b. Removed three-month specification in Criteria II. Coding and descriptions reviewed. References reviewed and updated. Reviewed by external specialist.	04/26	7/7/26	8/7/26

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Important Reminder

This clinical policy has been developed by appropriately experienced and licensed health care professionals based on a review and consideration of currently available generally accepted standards of medical practice; peer-reviewed medical literature; government agency/program approval status; evidence-based guidelines and positions of leading national health professional organizations; views of physicians practicing in relevant clinical areas affected by this clinical policy; and other available clinical information. LHCC makes no representations and accepts no liability with respect to the content of any external information used or relied upon in developing this clinical policy. This clinical policy is consistent with standards of medical practice current at the time that this clinical policy was approved.

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